

# Immune checkpoint blockade

## Immune Checkpoint Blockade

NCI CCR TRACO

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September 16, 2022

# Objectives

- The basics of immunotherapy
- Mechanism of action of checkpoint blockade
- Early clinical experience and the discovery of immune related adverse events
- Checkpoint blockade in melanoma
  - Ipilimumab
  - Nivolumab
  - Pembrolizumab
- Experimental Questions

# Oncology

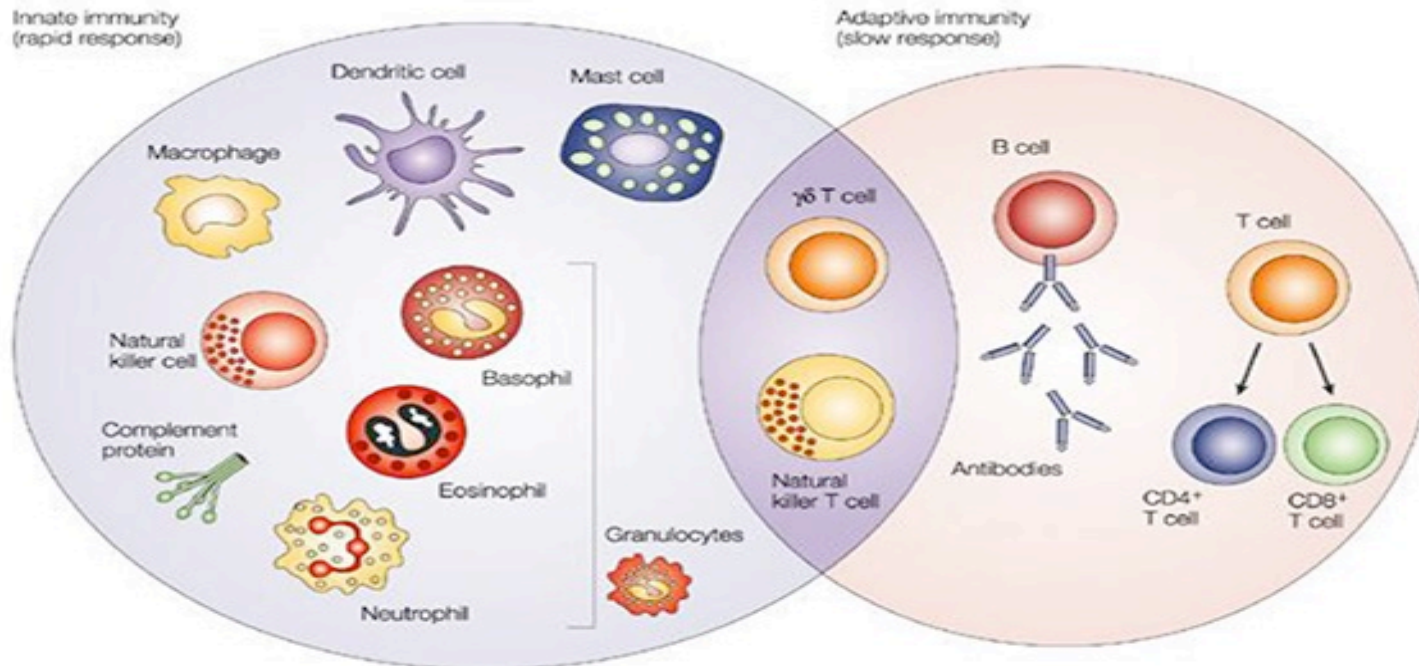


# Cancer Immunotherapy

1. Nonspecific stimulation of immune reactions
  - a) Stimulate effector cells
  - b) Inhibit regulatory factors  
(checkpoint blockade)
2. Active immunization to enhance anti-tumor reactions (cancer vaccines)
3. Passively transfer activated immune cells with anti-tumor activity (adoptive immunotherapy)

# Immune system

## Cells of the Immune System



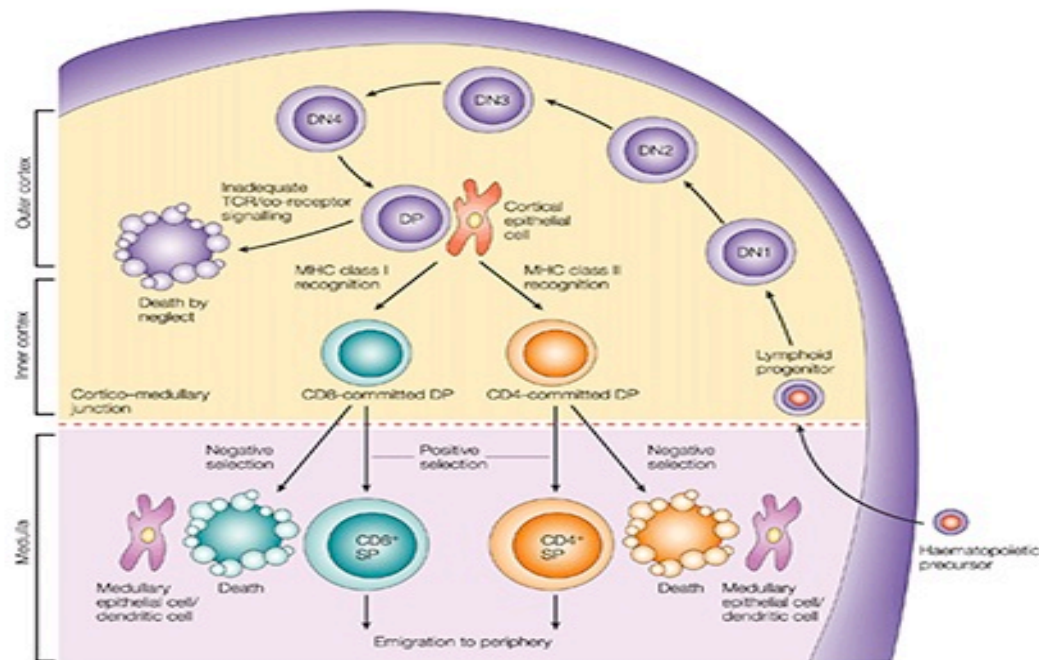
Nature Reviews | Cancer

Dranoff 2004

- Checkpoint blockade primarily affects T cells

# T cell birth

## T cell "birth"



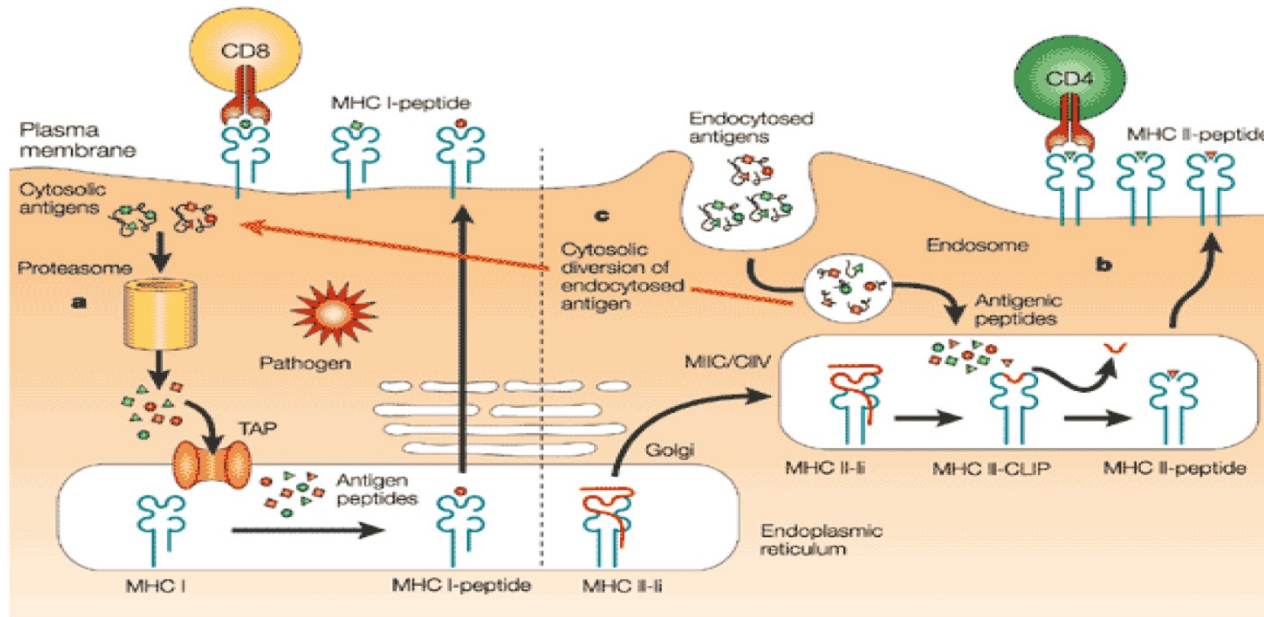
Nature Reviews | Immunology

Germain 2002

- Builds a repertoire of T cells

# T cell activation

## T cell activation

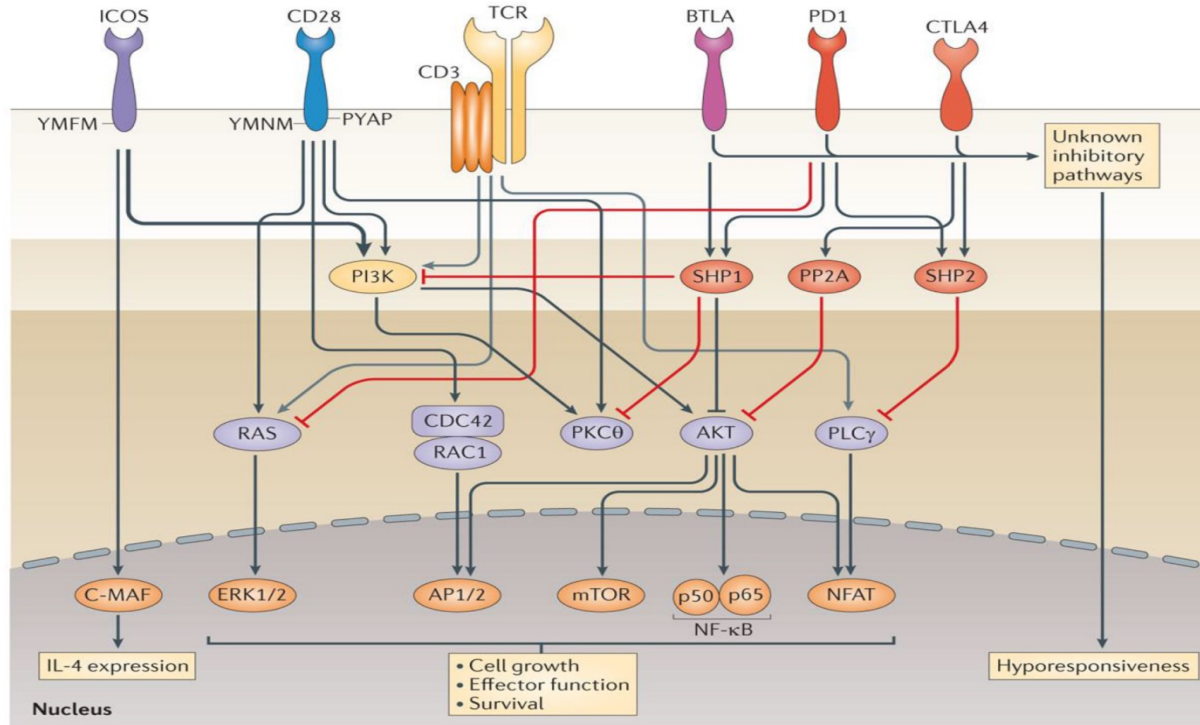


Nature Reviews | Immunology  
Heath 2001

- Signal 1: Specificity
- TCR engages antigen in context of MHC

# T cell activation

## T cell activation

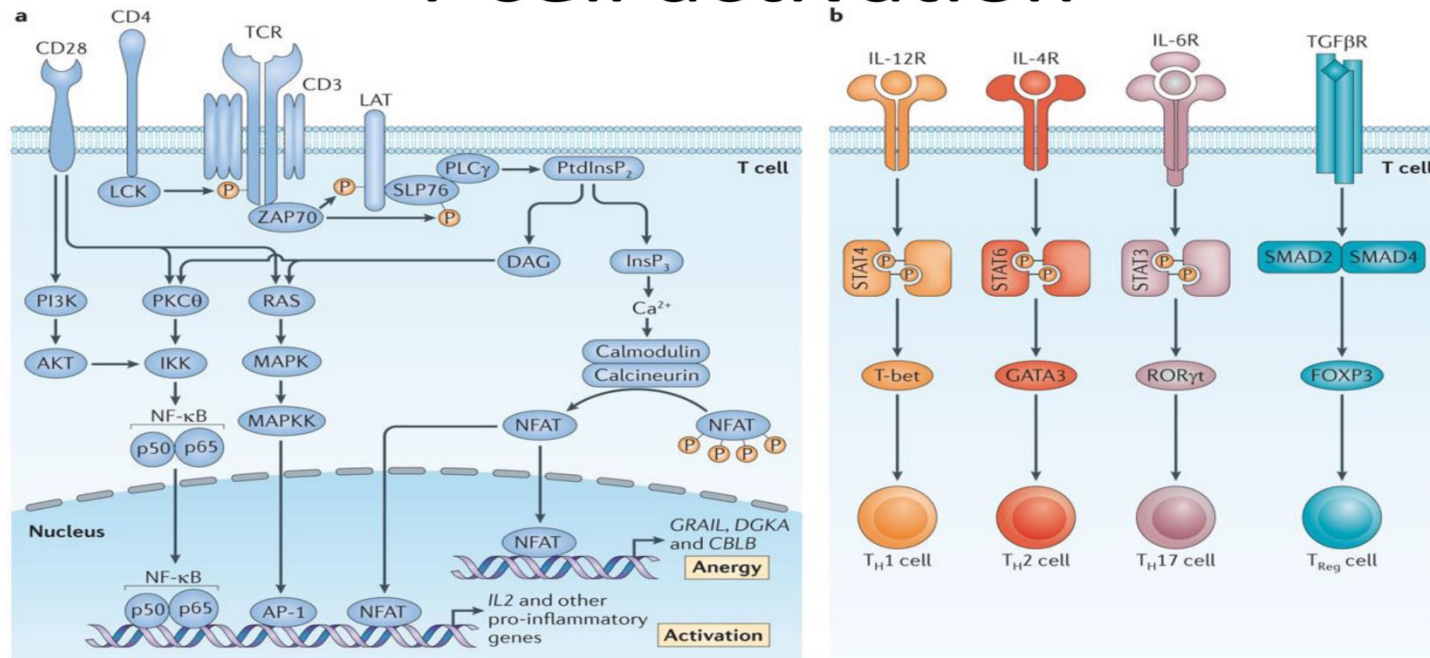


- Signal 2: Activation vs. Anergy
- Costimulatory molecules



# T cell activation

## T cell activation



Nature Reviews | Immunology

Pollizzi 2014

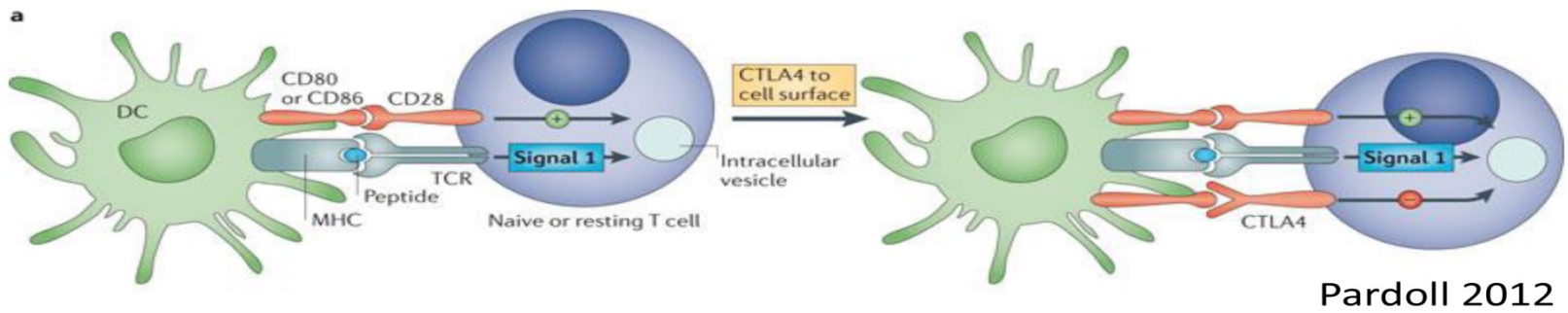
- Signal 3: Polarization
- Dependent on cytokine profile of the microenvironment

# The role of Signal 2 checkpoints

- Immune checkpoints promote self-tolerance
  - Initial response to antigen occurs primarily in secondary lymphoid organs (lymph nodes, tonsils, spleen, Peyer's patches, mucosa associated lymphoid tissue)
- Immune checkpoints limit “collateral damage”
  - Effector recognition in peripheral tissue/tumor
- For cancer immunotherapy, two opportunities to break tolerance to self-antigen

# CTLA-4

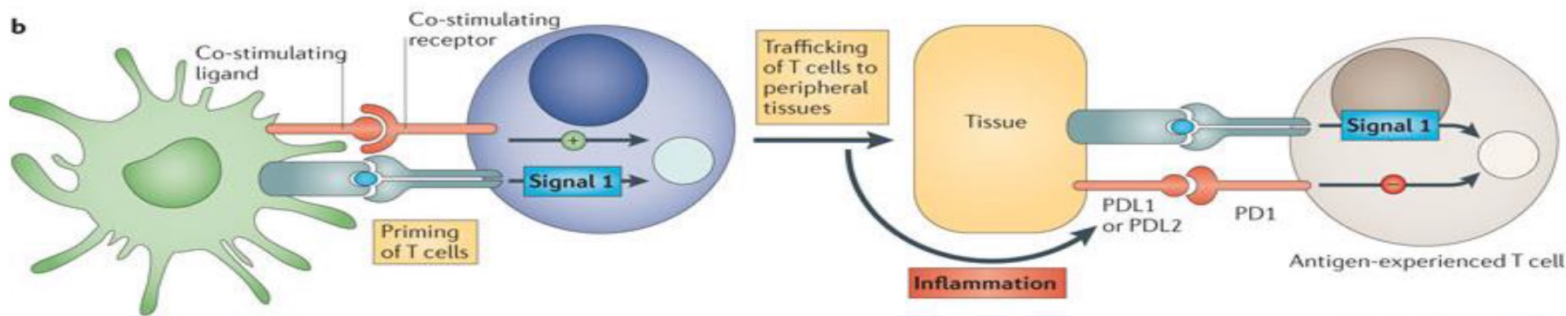
# CTLA-4



- Naïve and memory T cells express surface CD28
- CTLA-4 is transported to the surface in correlation to the strength of CD28 stimulation
- CTLA-4 also competes with higher affinity for CD80/86
- A dampening effect on downstream processing
- Constitutively present on Treg cells

# PD-1

# PD-1

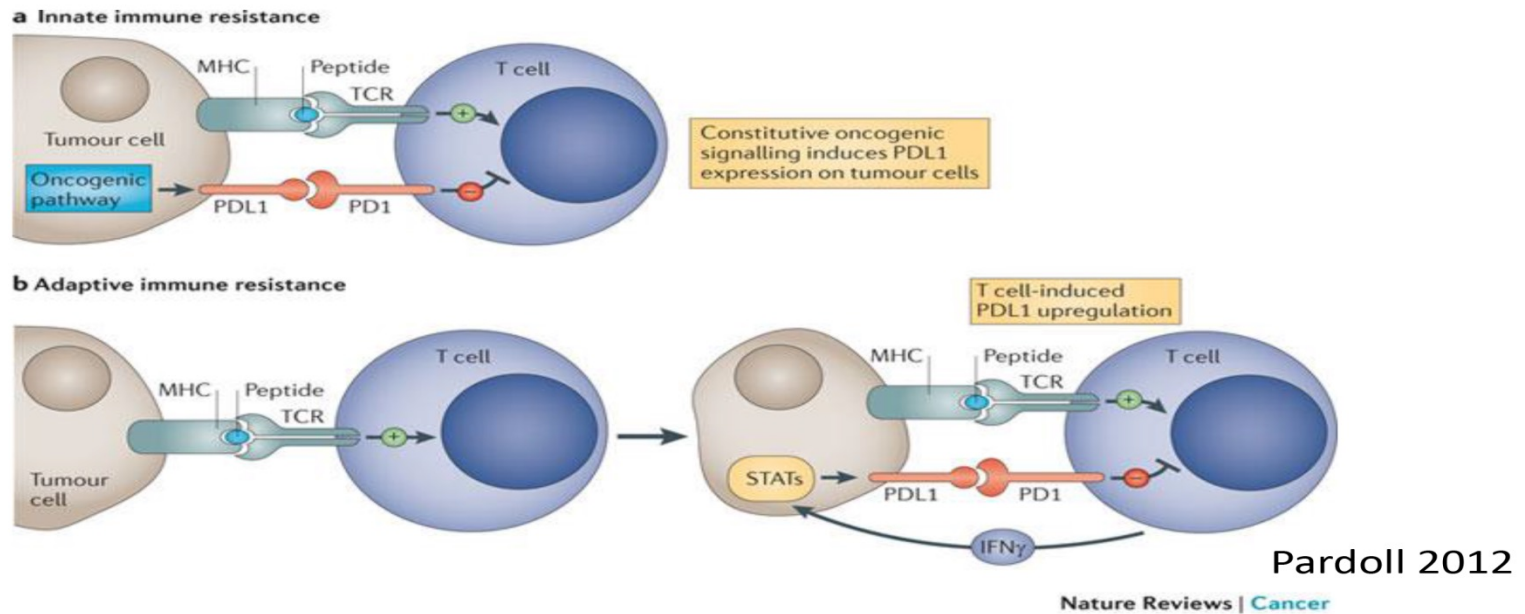


Nature Reviews | Cancer  
Pardoll 2012

- A primed T-cell is heading to peripheral tissue to engage a target, and once activated begin to express PD-1
- Inflammation present in the tissue can promote upregulation of the ligands of PD-1
- In general, this limits collateral damage during cell-mediated destruction of infection

# PD-1/PD-L1

## PD-1/PD-L1 in cancer



- Cancer cells can increase the amount of PDL1
- Successful T-cell tumor destruction can increase PDL1 through upregulation in response to IFN $\gamma$

# Checkpoint blockade

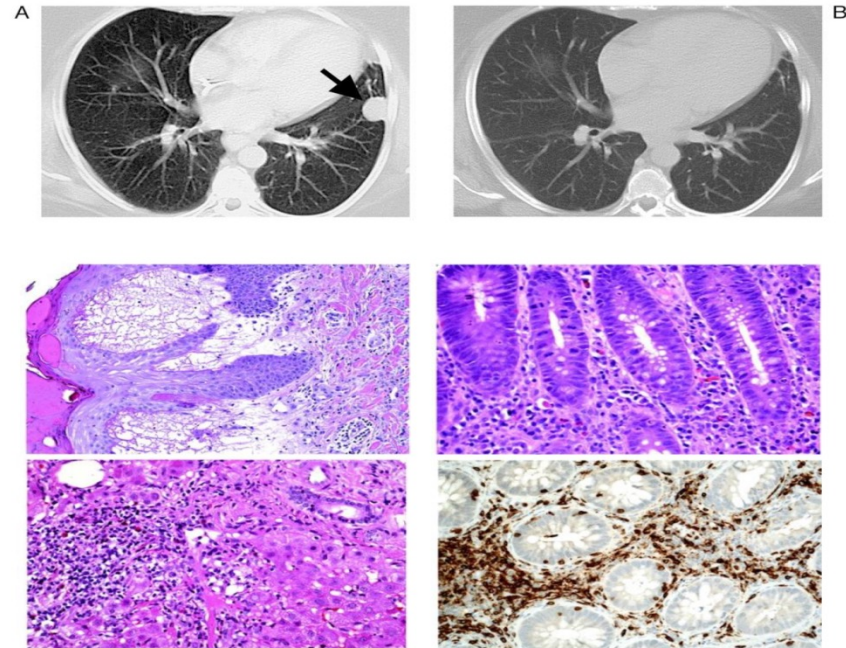
## Checkpoint Blockade

- Where to start?
- Tumors known to respond to other immunotherapy
- **Melanoma**
  - Estimated 9,940 deaths/year in US
  - Metastatic disease 16% 5 yr survival
  - Interleukin-2 durable *cure* in 4%
- **Renal Cell Cancer**
  - Estimated 14,080 deaths/year in US
  - Metastatic disease 12% 5 yr survival
  - Interleukin-2 durable *cure* in 7%

# Checkpoint Blockade

## Checkpoint Blockade @ NCI

- $\alpha$ CTLA-4, ipilimumab
- Phase I trial
- mAb (3mg/kg) + peptide
- Enrolled 14 patients
- 2 complete responders
- 1 partial response
- Accrual stopped for toxicity
  - Dermatitis, colitis, hepatitis, hypophysitis



Phan GQ 2003

PNAS

# Checkpoint Blockade

## Checkpoint Blockade @ NCI

- Cautiously proceeded with Phase II trials in melanoma and RCC, initially with dose reduction (3 → 1 mg/kg)
- Objective response was associated with development of autoimmune events

### Melanoma, p=0.008

|                                | > Gr 3<br>AE | < Gr 3<br>AE |
|--------------------------------|--------------|--------------|
| Objective Response<br>(CR = 2) | 5<br>(36%)   | 2<br>(5%)    |
| Non-responder                  | 9            | 40           |

Attia P 2005

### RCC, p=0.009

|                                | > Gr 3<br>AE | < Gr 3<br>AE |
|--------------------------------|--------------|--------------|
| Objective Response<br>(CR = 0) | 5<br>(29%)   | 0<br>(0%)    |
| Non-responder                  | 12           | 23           |

Yang JC 2007



# Checkpoint Blockade

## Checkpoint Blockade @ NCI

- Formal Phase II intra-patient dose escalation demonstrated association of response with immune-related adverse events of any grade
- Enterocolitis was the most common grade 3/4 IRAE in patients with melanoma (18%) or RCC (28%)
- The administration of steroids to manage IRAE did not truncate responses

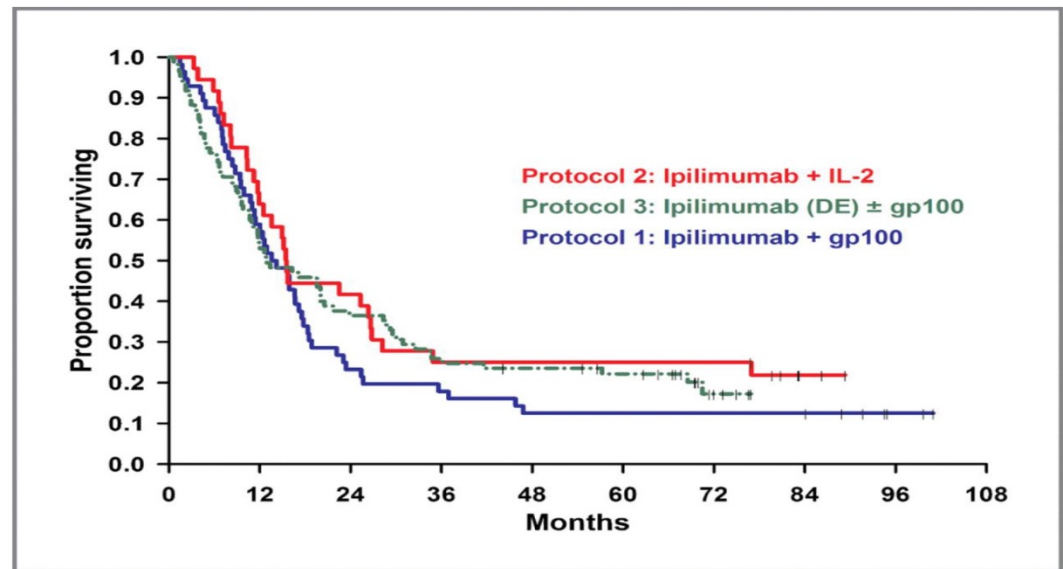
### Melanoma, p=0.0004

|                             | Gr 3/4 IRAE | Gr 1/2 IRAE | No IRAE |
|-----------------------------|-------------|-------------|---------|
| Objective Response (CR = 3) | 14 (28%)    | 8 (22%)     | 1 (2%)  |
| Non-responder               | 36          | 28          | 52      |

# Checkpoint Blockade

## Checkpoint Blockade @ NCI

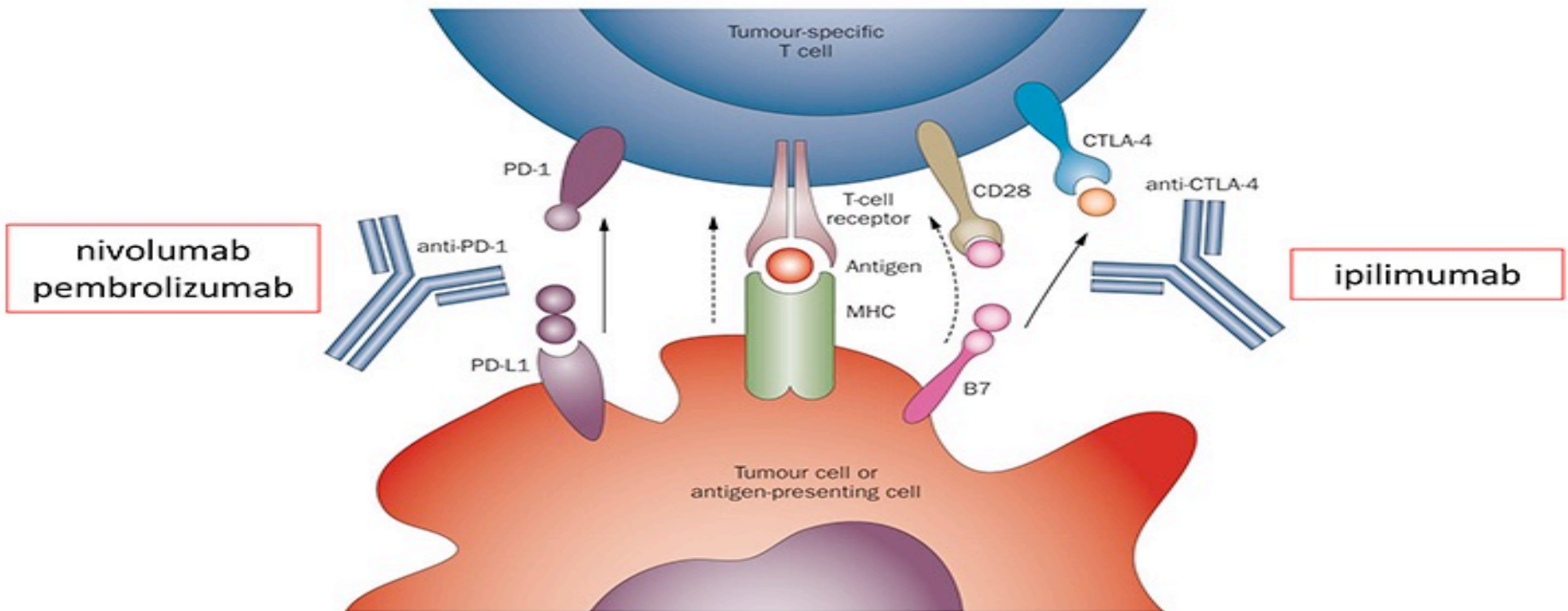
- Developed algorithms for management of IRAEs
- Demonstrated durability of responses
  - OR 13-20%
  - 5 yr OS 13-23%



Prieto PA 2012

# Checkpoint blockade

## Checkpoint blockade in melanoma

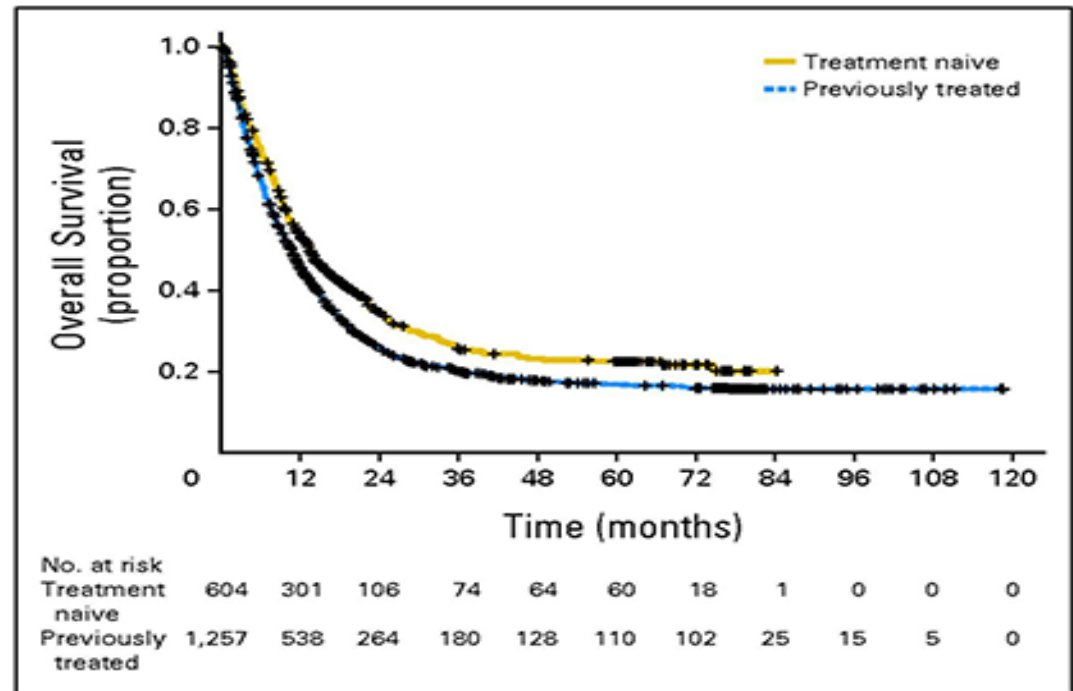


Drake C 2013

# Ipilimumab

## Ipilimumab for melanoma

- Updated survival
- 3 year OS, 20-26%
- “Tail of the curve”
  - Durable for a small # of patients

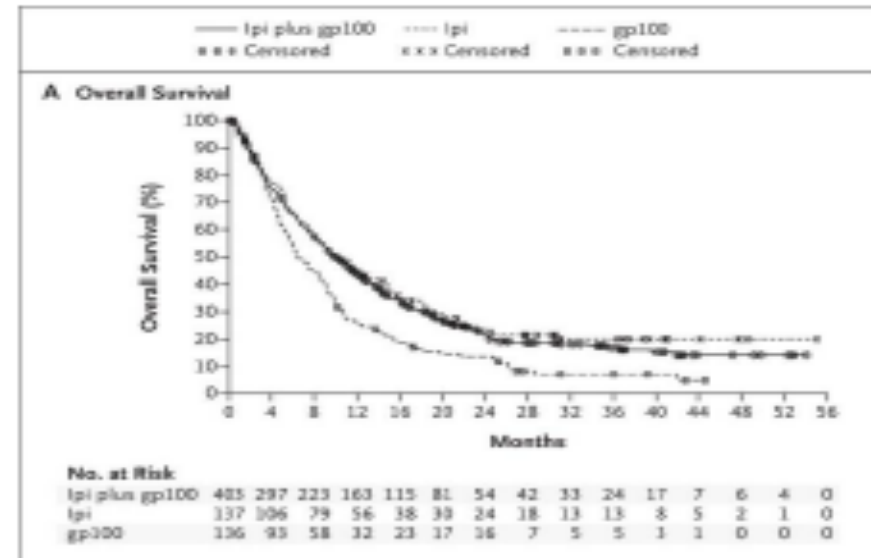


Schadendorf D 2015

# Ipilimumab

## Ipilimumab for melanoma

- 11% response rate in Phase II trials at highest doses (10 mg/kg)
- Randomized Phase III ipilimumab ± gp100 vaccine vs. gp100 vaccine
- Allowed re-induction
- OR: ipilimumab arms 7% (38/540)  
CR in 3 patients
- Disease control rate 22%
- Gr 3/4 irAE 10-15%



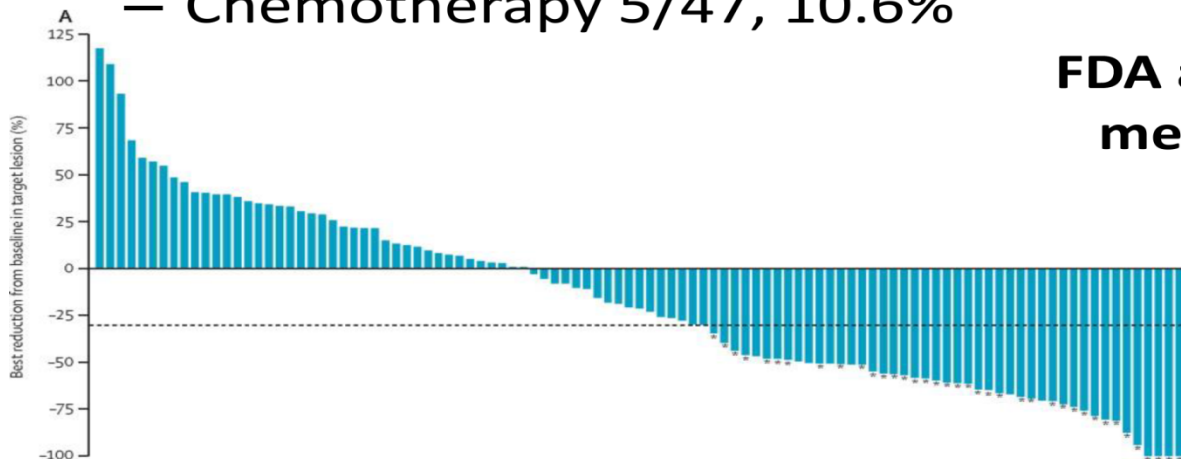
**FDA approval for metastatic melanoma in March 2011**

Hodi FS 2010

# Nivolumab for melanoma

## Nivolumab for melanoma

- Ipilimumab-refractory
- RCT: nivolumab vs chemotherapy of choice (CheckMate 037)
- Objective Response
  - Nivolumab 38/120, 31.7% with 4 CR
  - Chemotherapy 5/47, 10.6%

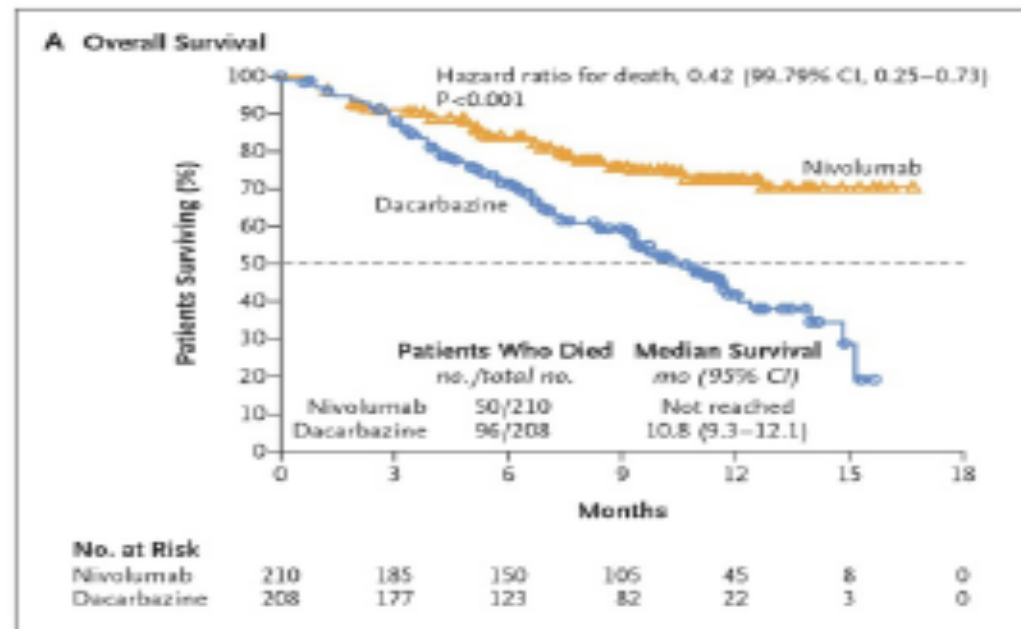


**FDA approval for refractory  
melanoma in December  
2014**

# Nivolumab for melanoma

## Nivolumab for melanoma

- Untreated metastatic disease
- Wildtype *BRAF*
- RCT: nivolumab vs dacarbazine (CheckMate 066)
- Objective response
  - Nivolumab 84/210 {40%}  
CR in 16 pts {7.6%}
  - Dacarbazine 29/208 {14%}  
CR in 2 pts {1%}



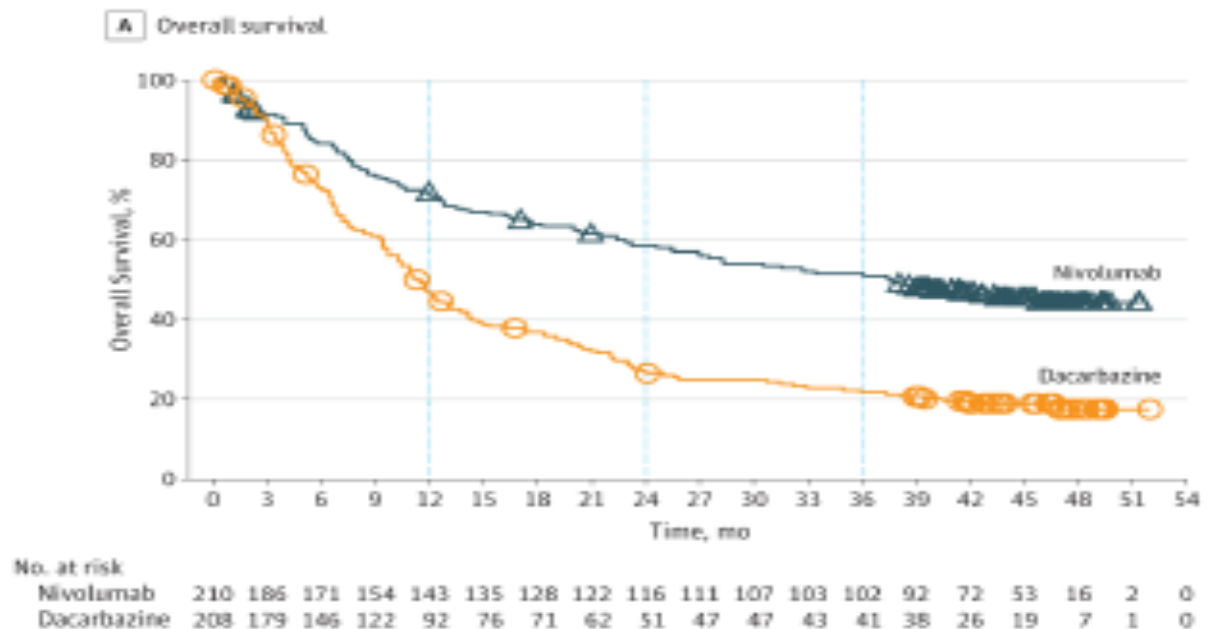
**Approved for initial treatment  
(*BRAF*-wt) in November 2015**

Robert C 2015

# Nivolumab

## Nivolumab for melanoma

- Overall Survival update for Checkmate 066
- Three-year OS:
  - Nivolumab 51%
  - Dacarbazine 22%



Ascierto P 2018

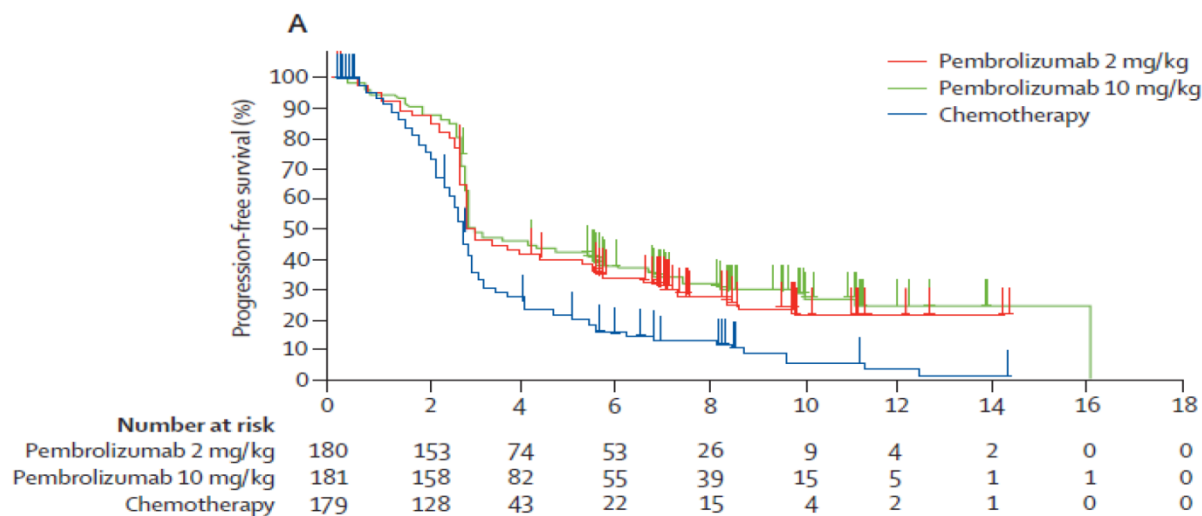
JAMA Oncology



# Pembrolizumab for melanoma

# Pembrolizumab for melanoma

- Ipilimumab-refractory
- Phase II, dose comparison (2mg/kg vs 10 mg/kg) vs chemo
- 540 patients
  - 2mg/kg ORR 38 (21%), 10 mg/kg ORR 46 (25%), chemo 8 (4%)
- Grade 3/4 AE 12%



Weber JS 2015

THE LANCET Oncology

# Pembrolizumab for melanoma

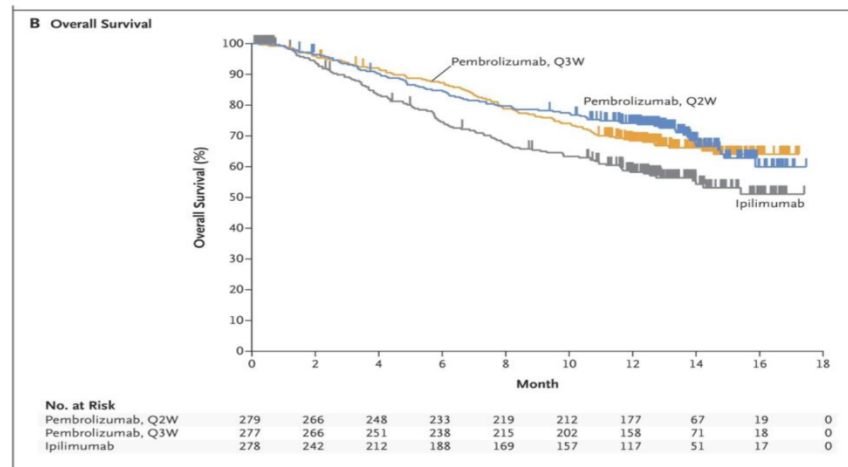
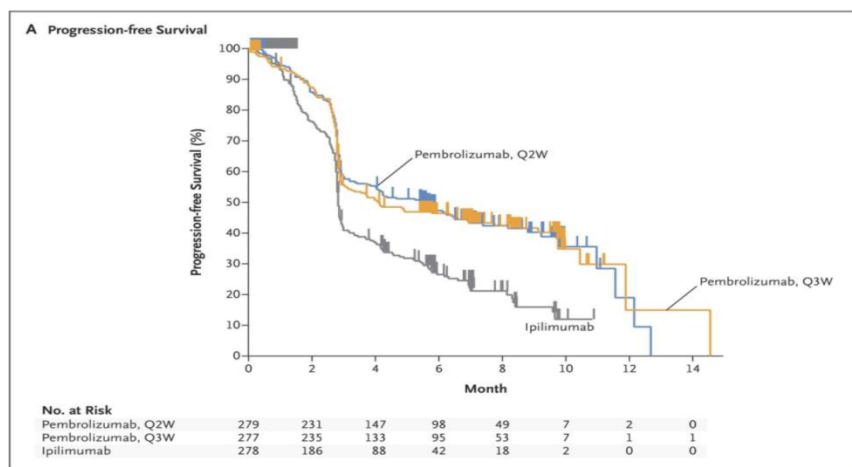
## Pembrolizumab for melanoma

- RCT, KEYNOTE-006, first-line therapy
- Pembrolizumab (q2w, q3w) vs ipilimumab
- 1:1:1
- 834 patients
- Objective Response
  - Pembrolizumab q2w 94/279 (33.7%), CR 14
  - Pembrolizumab q3w 91/277 (32.9%), CR 17
  - Ipilimumab 33/278 (11.9%), CR 4

Robert C 2015

# Pembrolizumab for melanoma

# Pembrolizumab for melanoma



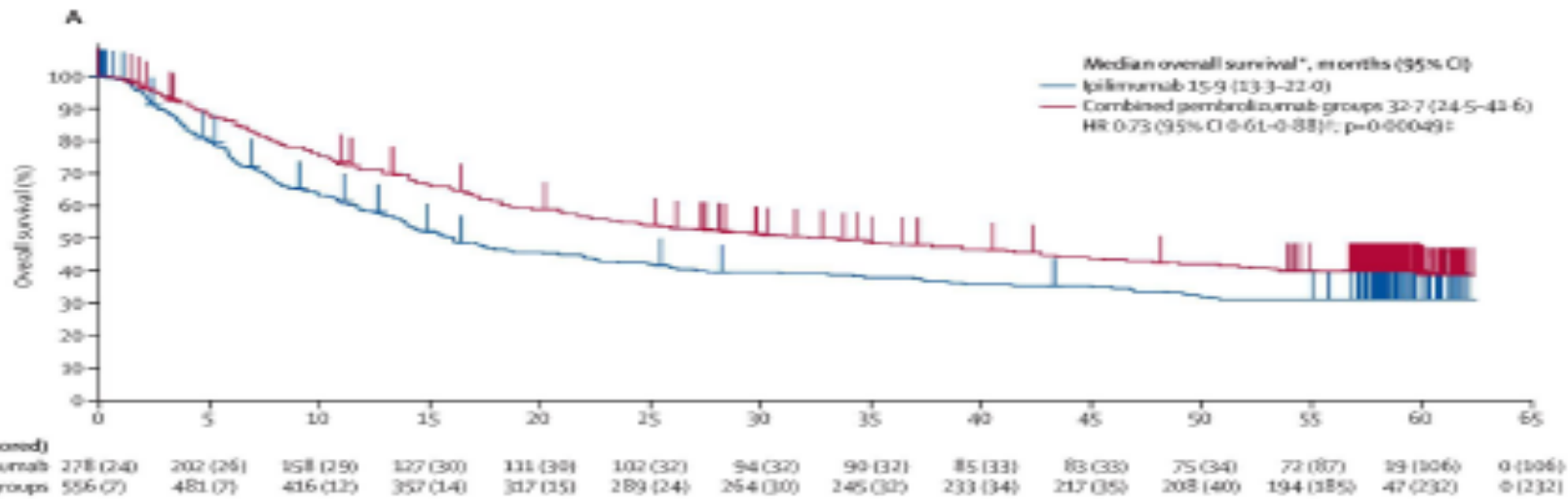
- Grade  $\geq 3$  AE
  - Pembrolizumab q2w 13.3% (1.4% Colitis)
  - Pembrolizumab q3w 10.1% (2.5% Colitis)
  - Ipilimumab 19.9% (7% Colitis)

Robert C 2015

# Pembrolizumab

## Pembrolizumab for melanoma

- Three year OS of 48.1% vs 37.8%

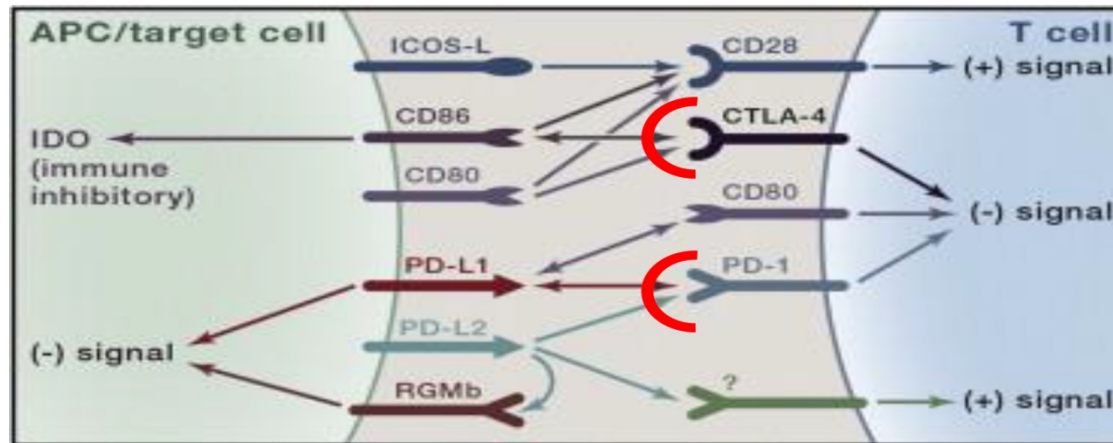


Robert C 2019

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# Checkpoint modulation

## Checkpoint Modulation



Topalian, Cancer Cell 2015

- In melanoma, the two approved antibodies interfere with separate receptor/ligand complexes
- Could combination therapy improve response or survival?

# Nivolumab/Ipilimumab

## Nivolumab/Ipilimumab for melanoma

- Previously untreated
- Phase III, RCT
- 945 patients
- 1:1:1
- PD-L1 (+)  $\geq 5\%$

**Table 1. Characteristics of the Patients at Baseline.\***

| Characteristic                          | Nivolumab<br>(N=316) | Nivolumab plus<br>ipilimumab<br>(N=314) | Ipilimumab<br>(N=315) | Total<br>(N=945) |
|---|----------------------|---|-----------------------|------------------|
| PD-L1 status — no. (%)                  |                      |   |                       |                  |
| Positive                                | 80 (25.3)            | 68 (21.7)                               | 75 (23.8)             | 223 (23.6)       |
| Negative                                | 208 (65.8)           | 230 (66.9)                              | 200 (64.1)            | 620 (65.6)       |
| Could not be determined or<br>evaluated | 28 (8.9)             | 34 (11.5)                               | 38 (12.1)             | 102 (10.8)       |
| BRAF status — no. (%)                   |                      |   |                       |                  |
| Mutation                                | 100 (31.6)           | 101 (32.2)                              | 97 (30.8)             | 298 (31.5)       |
| No mutation                             | 216 (68.4)           | 213 (67.8)                              | 218 (69.2)            | 647 (68.5)       |

Larkin J 2015



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# Nivolumab/Ipilimumab

## Nivolumab/Ipilimumab for melanoma

- Previously untreated
- Phase III, RCT
- 945 patients
- 1:1:1
- Grade 3/4 AE
  - Nivolumab 16.3%
  - Ipilimumab 27.3%
  - Combo 55.0%

**Table 2. Response to Treatment.**

| Variable                                     | Nivolumab (N=316) | Nivolumab plus Ipilimumab (N=314) | Ipilimumab (N=315) |
|--|-------------------|-----------------------------------|--------------------|
| Best overall response — no. (%) <sup>*</sup> |                   |                                   |                    |
| Complete response                            | 28 (8.9)          | 36 (11.5)                         | 7 (2.2)            |
| Partial response                             | 110 (34.8)        | 145 (46.2)                        | 53 (16.8)          |
| Stable disease                               | 34 (10.8)         | 41 (13.1)                         | 69 (21.9)          |
| Progressive disease                          | 119 (37.7)        | 71 (22.6)                         | 154 (48.9)         |
| Could not be determined                      | 25 (7.9)          | 21 (6.7)                          | 32 (10.2)          |
| Objective response <sup>†</sup>              |                   |                                   |                    |
| No. of patients with response                | 138               | 181                               | 60                 |
| % of patients (95% CI)                       | 43.7 (38.1–49.3)  | 57.6 (52.0–63.2)                  | 19.0 (14.9–23.8)   |
| Estimated odds ratio (95% CI) <sup>‡</sup>   | 3.40 (2.02–5.72)  | 6.11 (3.59–10.58)                 | —                  |
| Two-sided P value                            | <0.001            | <0.001                            | —                  |
| Time to objective response — mo              |                   |                                   |                    |
| Median                                       | 2.78              | 2.76                              | 2.79               |
| Range  | 2.3–12.5          | 1.1–11.6                          | 2.5–12.4           |

<sup>\*</sup> The best overall response was assessed by the investigator according to the Response Evaluation Criteria in Solid Tumors, version 1.1.

<sup>†</sup> Data included patients with a complete response and those with a partial response. The calculation of the confidence interval was based on the Clopper–Pearson method. These analyses were conducted with the use of a two-sided Cochran–Mantel–Haenszel test stratified according to PD-L1 status, *BRAF* mutation status, and metastasis stage.

<sup>‡</sup> The comparison is with the ipilimumab group.

Larkin J 2015

# Nivolumab/Ipilimumab

## Nivolumab/Ipilimumab for melanoma

- Previously untreated
- Phase III, RCT
- 945 patients
- 1:1:1
- Grade 3/4 AE
  - Nivolumab 21%
  - Ipilimumab 28%
  - Combo 59%

Table 1. Response to Treatment.\*

| Variable                                  | Nivolumab plus Ipilimumab (N=314) | Nivolumab (N=316) | Ipilimumab (N=315) |
|---|-----------------------------------|-------------------|--------------------|
| Best overall response — no. (%)†          |                                   |                   |                    |
| Complete response                         | 61 (19)                           | 52 (16)           | 16 (5)             |
| Partial response                          | 122 (39)                          | 88 (28)           | 43 (14)            |
| Stable disease                            | 38 (12)                           | 31 (10)           | 69 (22)            |
| Progressive disease                       | 74 (24)                           | 121 (38)          | 159 (50)           |
| Unable to determine                       | 19 (6)                            | 24 (8)            | 28 (9)             |
| Objective response‡                       |                                   |                   |                    |
| No. of patients with response             | 183                               | 140               | 59                 |
| % of patients (95% CI)                    | 58 (53–64)                        | 44 (39–50)        | 19 (15–24)         |
| Estimated odds ratio (95% CI)§            | 6.46 (4.45–9.38)                  | 3.57 (2.48–5.15)  | —                  |
| P value                                   | <0.001                            | <0.001            | —                  |
| Median duration of response (95% CI) — mo | NR                                | NR (36.3–NR)      | 19.3 (8.3–NR)      |

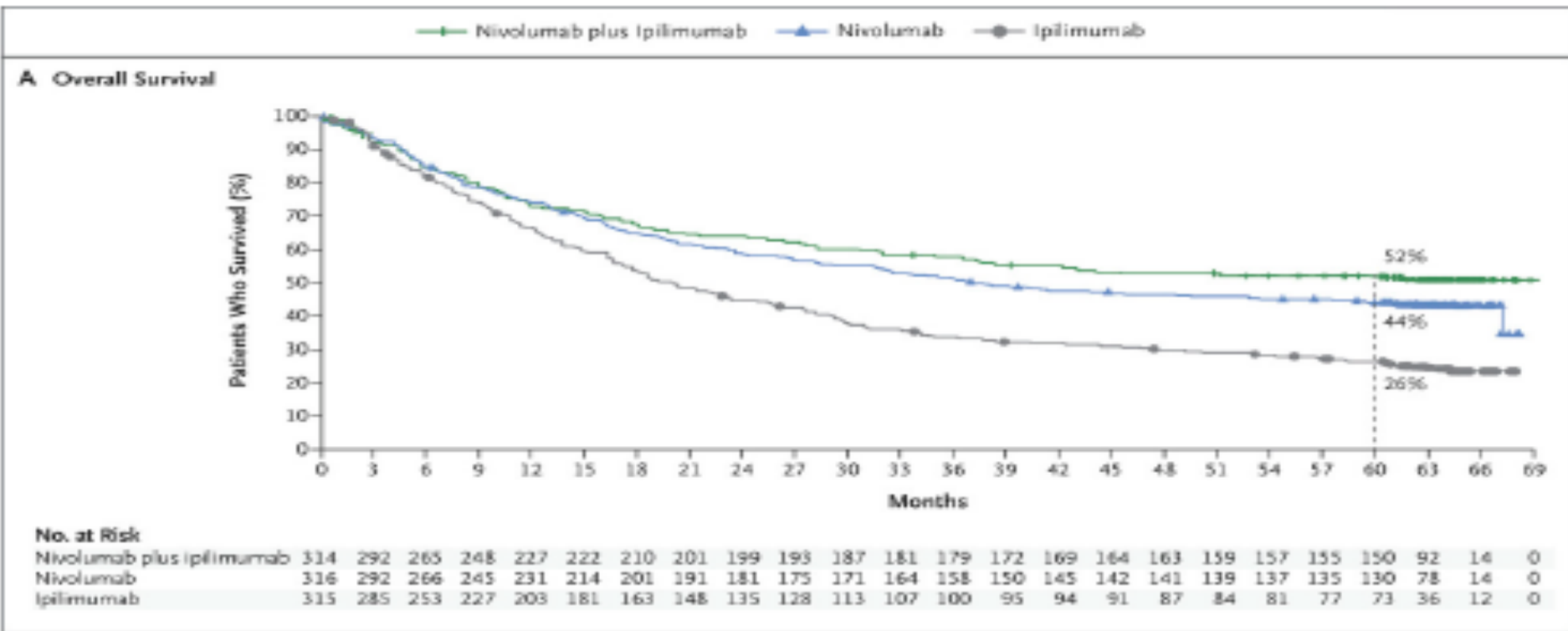
**FDA approval of  
combination for melanoma  
in January 2016**

Wolchok J 2017



# Nivolumab/Ipilimumab for melanoma

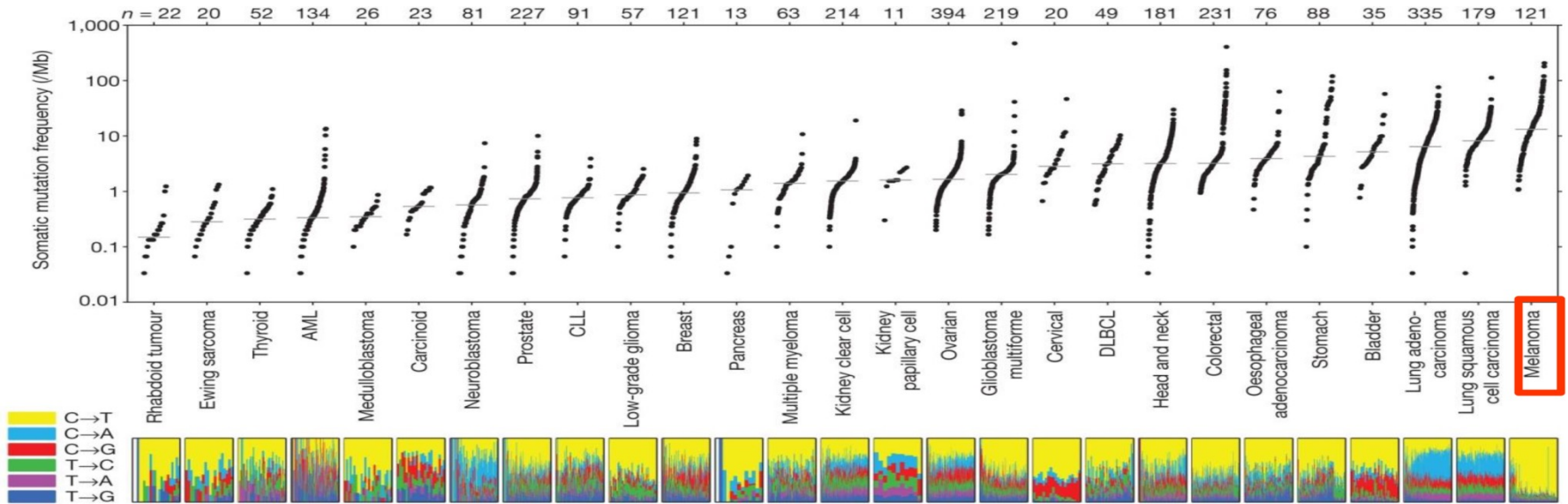
## Nivolumab/Ipilimumab for melanoma



Larkin J 2019

# Melanoma

## Why melanoma?



# Highly mutated tumors

- Non-small cell lung cancer
- ~158,040 deaths/year in US
- Regional disease  
16% 5 yr survival
- Metastatic disease  
2% 5 yr survival
- Correlation between smoking and # mutations
- Tumors with mismatch repair (MMR) deficiency
  - Lynch syndrome (germline mutation)
  - Sporadic mutation
  - MSH2, MLH1, MSH6, PMS2
- Bladder cancer
  - 16,000 deaths/year in US
  - Highly lethal once metastatic

# FDA approval time

<https://www.cancerresearch.org/scientists/immuno-oncology-landscape/pd-1-pd-l1-landscape>



Timeline of Anti-PD-1/L1 Antibody Approvals by the FDA

Updated August 11, 2023

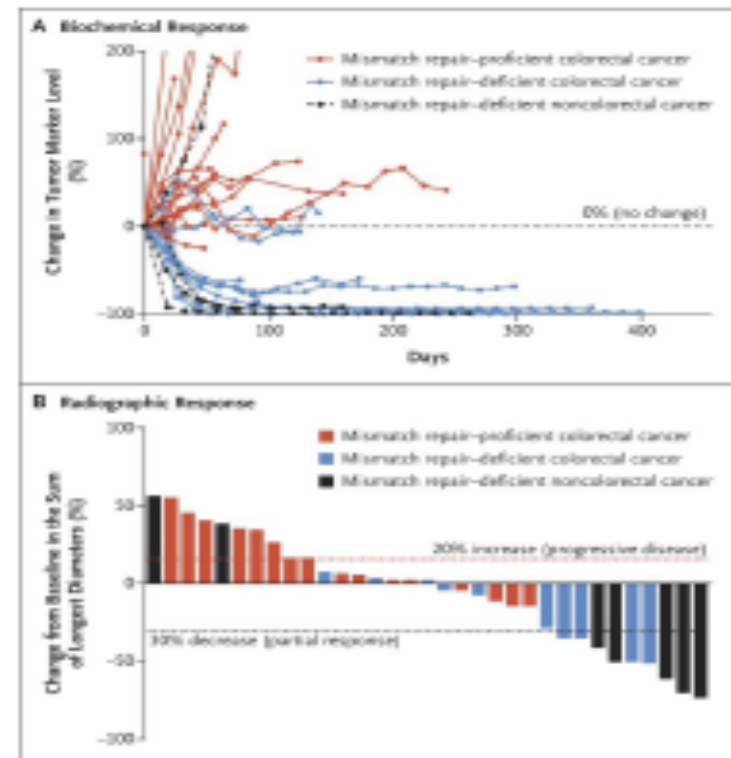
Sources: CRI, CRI Analytics, and FDA



# Mismatch/repair deficiency

## Pembrolizumab for mismatch repair deficient (dMMR) cancer

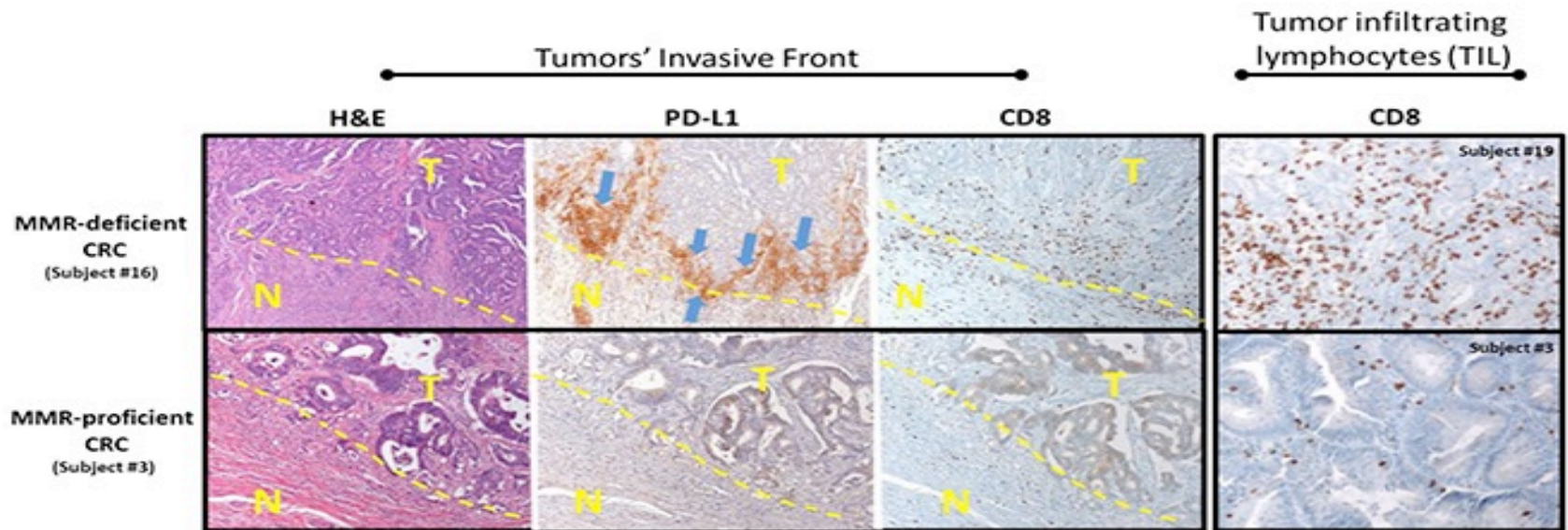
- Builds on hypothesis of neoantigens from somatic mutations
- Phase 2 study
- Three parallel cohorts
  - MMR-proficient CRC
  - MMR-deficient CRC
  - MMR-deficient other



Le DT 2015

# Tumor-stromal interface

## Pembrolizumab at the tumor-stroma interface



Le DT 2015

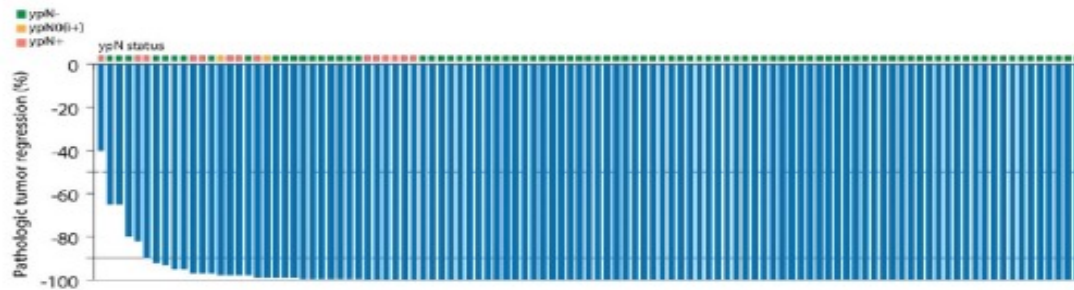


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# Pre-op combinations checkpoint

## Pre-op combination checkpoint

PARIS 2022 **ESMO** congress  
#ESMO22



ypN- = post-treatment pathologic lymph nodes tumor-free; ypN+ = post-treatment pathologic lymph nodes with tumor; ypN0(i) = post-treatment pathologic lymph nodes with isolated tumor cells. Patients with pathologic complete responses in the primary tumor and viable tumor rest (N+ or N0(i)) in the lymph nodes are considered major pathologic responders.

**Neoadjuvant immunotherapy in dMMR colon cancer - a paradigm shift?**

ESMO  
**daily**  
REPORTER

Chalabi et al ESMO Presidential Session September 2022

# Checkpoint blockade

## Checkpoint Blockade

- **Highly mutated tumors**
  - Melanoma
  - Non-small cell lung cancer
  - Bladder cancer
  - Tumors with mismatch repair deficiency
- **Use in other tumors?**
  - Renal cell
    - Responds to other immunotherapy
  - Hodgkin's lymphoma
    - Reed-Sternberg cells have elevated amounts of PD-L1
  - Head and neck SCC
    - HPV and mutations

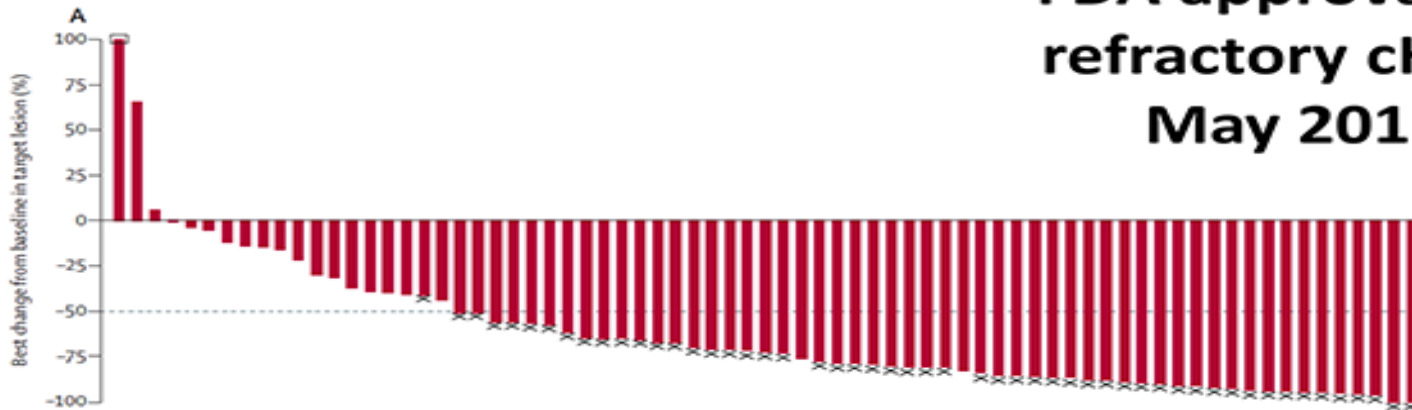


# Hodgkin's lymphoma

## Nivolumab for Hodgkin's Lymphoma

- 80 patients
  - Refractory to stem cell transplant
  - Refractory to brentuximab
- Objective Response
  - 53/80 (66%)
  - 7 complete remission

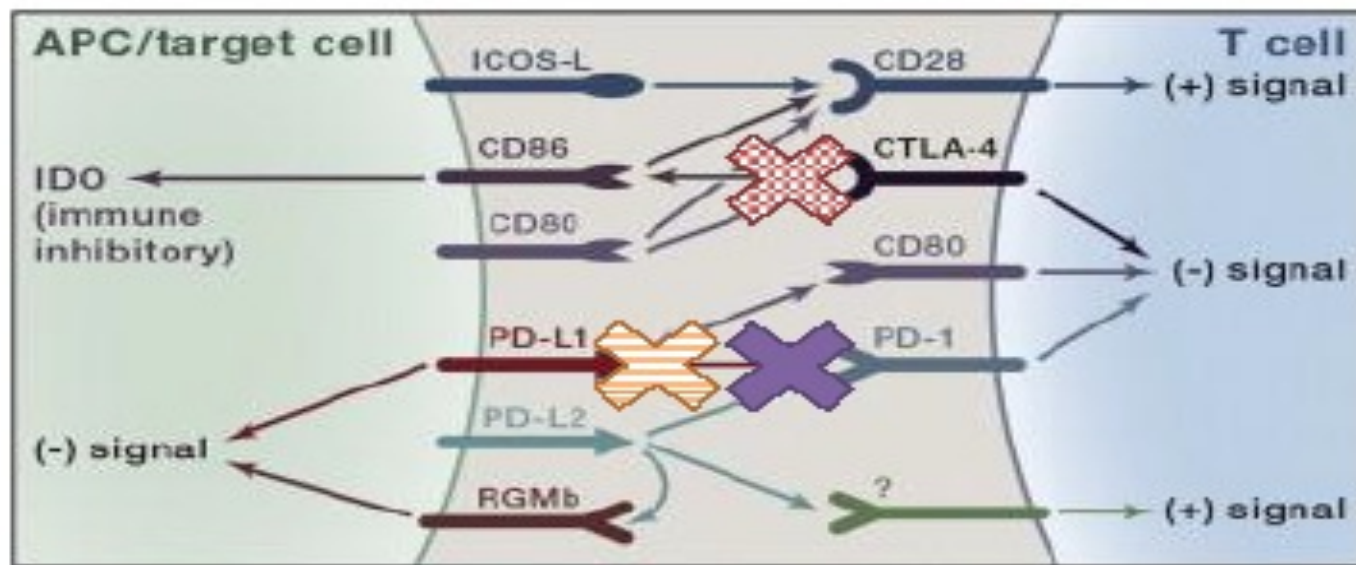
**FDA approval for refractory cHL in May 2016**



Younes A 2016

# Checkpoint modulation

## Checkpoint Modulation



Topalian, Cancer Cell 2015

- Initial focus on blocking Signal 2 on the T cell side



Anti-CTLA-4: ipilimumab (Yervoy), tremelimumab



Anti-PD-1: nivolumab (Opdivo), pembrolizumab (Keytruda), cemiplimab (Libtayo)

- Newer development on blocking Signal 2 on the target



Anti-PD-L1: atezolizumab (Tecentriq), avelumab (Bavencio), durvalumab (Imfinzi)

# Bladder cancer

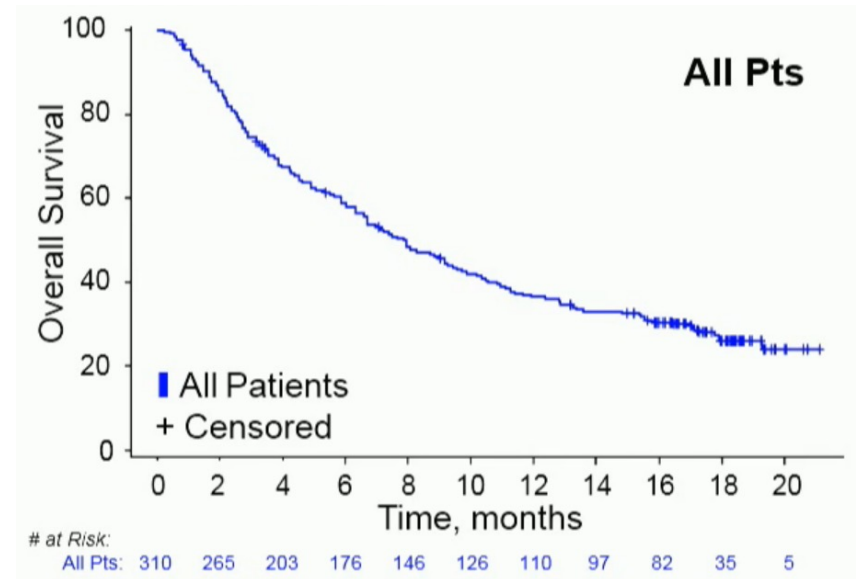
## $\alpha$ PD-L1 in Urothelial bladder cancer

- MPDL3280A
- Atezolizumab
- 15 mg/kg q3w
- 27% tumors with >5% PD-L1 by IHC
- 65 patients with pre-treatment biopsy
- **Objective Response**
  - $\geq 5\%$  PD-L1 13/30 (43.3%)
  - $< 5\%$  PD-L1 4/35 (11.4%)
- Grade 3/4 AE 4%



# $\alpha$ PD-L1 in Urothelial bladder cancer

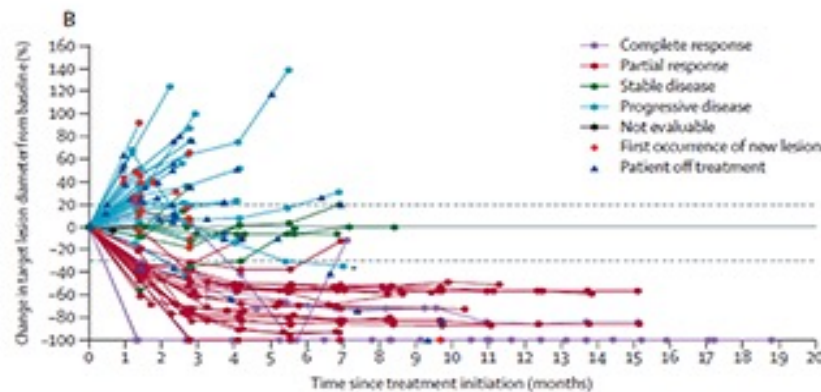
- 310 patients
- Objective Response
  - 45 (15%)
  - With 15 complete responses
- Overall Survival
  - 7.9 months
- 1 yr Survival
  - 37%



# Avelumab

## Avelumab in Merkel cell carcinoma

- 88 patients
  - Confirmed metastatic disease
- Objective Response
  - 28/88 (32%)
  - 8 complete remission



**FDA approval for  
Merkel cell  
carcinoma in March  
2017**

# PD-1/PD-L1 pathway

## Blocking the PD-1/PD-L1 pathway

|            | Drug          | Melanoma                   | NSCLC                     | RCC                       | Bladder    |
|------------|---------------|----------------------------|---------------------------|---------------------------|------------|
| Anti-PD-1  | Nivolumab     | 32% (n=107)                | 17% (n=129)<br>30% (n=20) | 29% (n=34)<br>21% (n=168) | NR         |
|            | Pembrolizumab | 38% (n=135)<br>26% (n=157) | 26% (n=42)<br>20% (n=194) | NR                        | 24% (n=29) |
| Anti-PD-L1 | BMS-936559    | 17% (n=52)                 | 10% (n=49)                | 12% (n=17)                | NR         |
|            | MEDI4736      | NR                         | 16% (n=58)                | NR                        | NR         |
|            | Atezolizumab  | 30% (n=43)                 | 23% (n=53)                | 14% (n=56)                | 26% (n=65) |

FDA Approved  
(As of 9/2016)

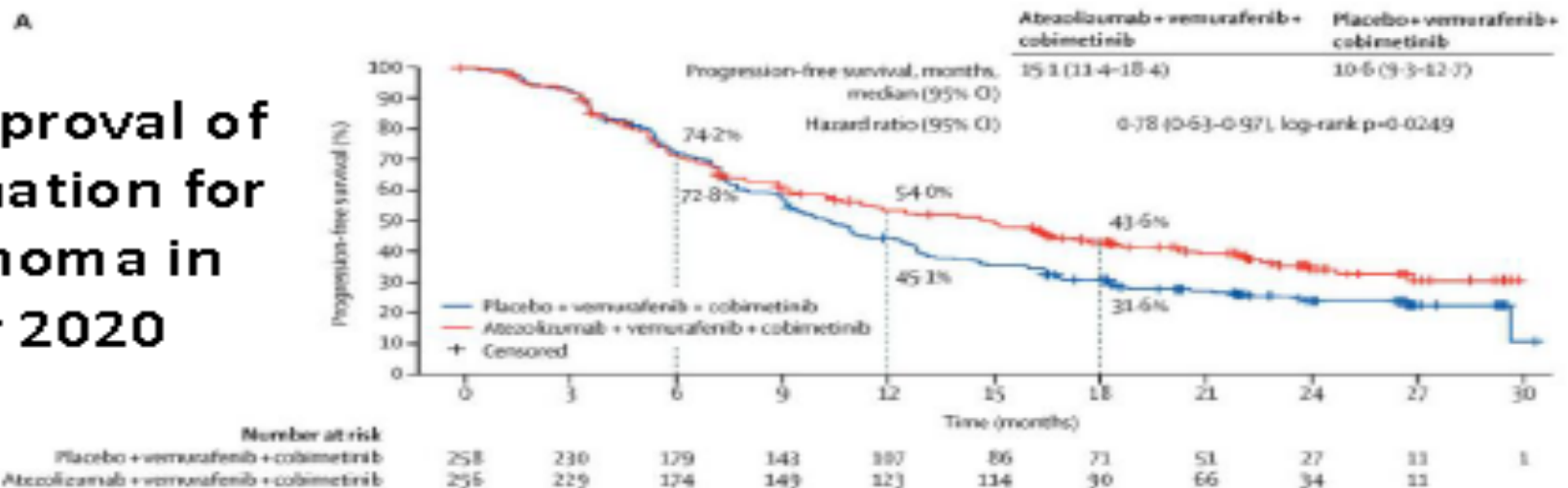
Adapted from Lipson 2015

# Altezolizumab

## Atezolizumab ( $\alpha$ PD-L1) for melanoma

- BRAF V600E/K mutation
- Phase III RCT, with BRAK/MEK inhibitors
- 514 patients, randomized 1:1

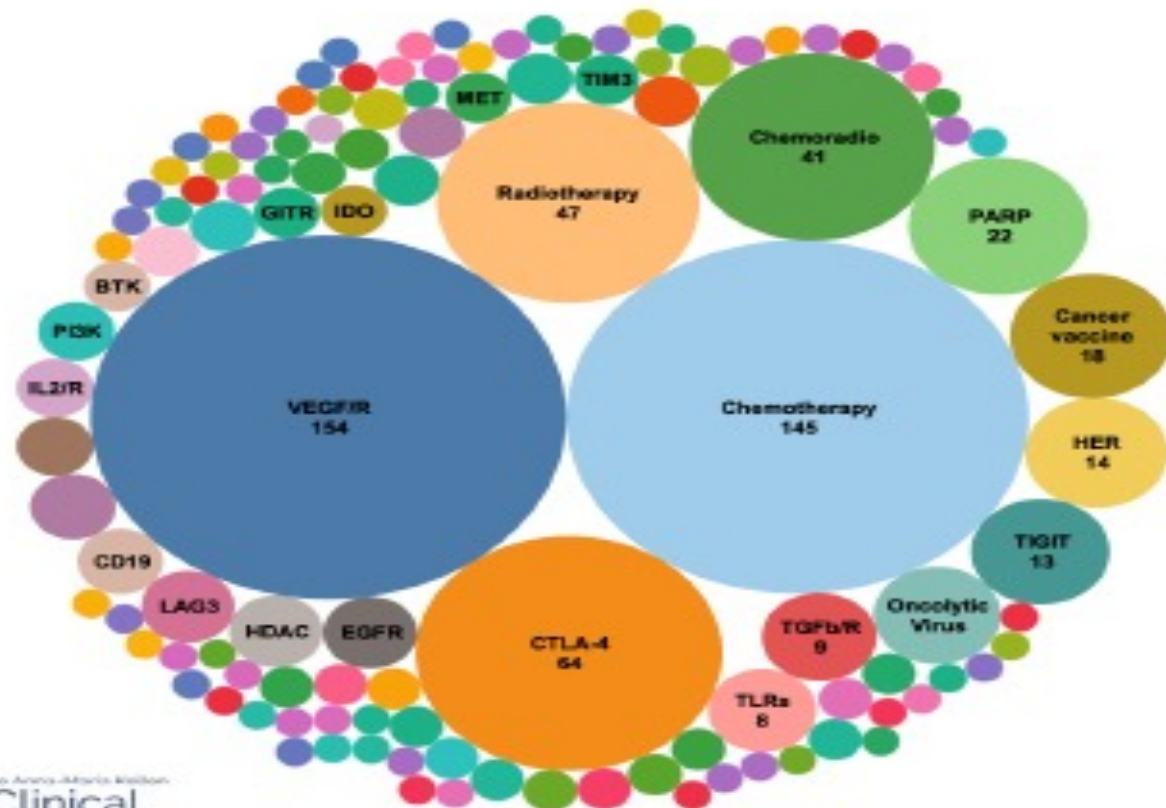
FDA approval of combination for melanoma in July 2020



# Combination clinical trials

## Combination Clinical Trials

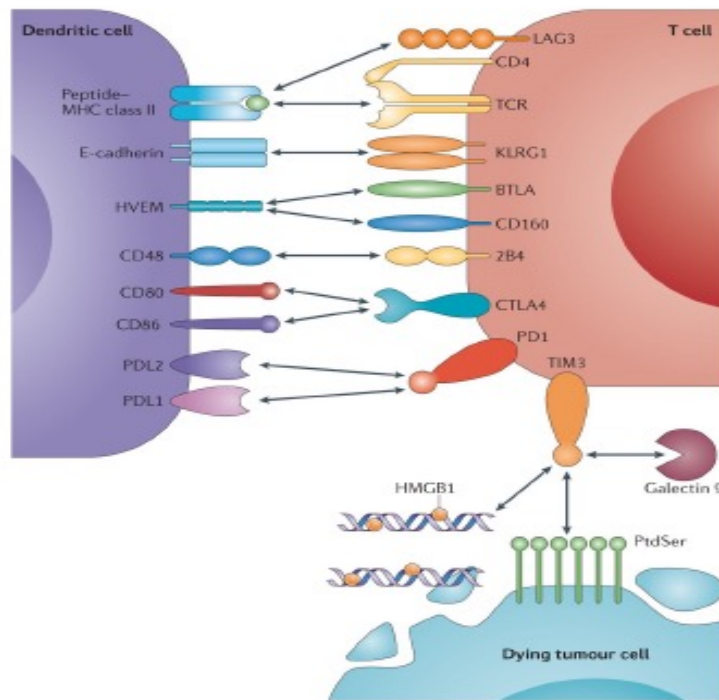
- Over 2900 different trials of combination therapy with 253 different agents
- 724 new trials in first 9 months of 2020





# New checkpoint inhibitors

## New checkpoint inhibitors



- LAG-3
- Combination formula
  - Anti PD-1
  - Anti LAG-3
- 16% complete response
- 27% partial responses
- Approved for 1<sup>st</sup> line metastatic melanoma in March 2022

# Chemotherapy combinations

## Rationale for Chemotherapy Combinations

